

Transparency notifications by BlackRock, Inc.

1. Transparency notification of 8 April 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 8 April 2020 that on 7 April 2020 it has crossed the statutory threshold of 5% upwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.03% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 8 April 2020
- Date on which the threshold is crossed: 7 April 2020
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	30,794	30,794	0.01%
BlackRock (Singapore) Limited	3,774	3,774	0.00%
BlackRock Advisors (UK) Limited	1,084,267	1,100,032	0.45%
BlackRock Advisors, LLC	267,453	269,732	0.11%
BlackRock Asset Management Canada Limited	139,227	139,227	0.06%
BlackRock Asset Management Deutschland AG	299,084	300,476	0.12%
BlackRock Asset Management North Asia Limited	1,619	1,619	0.00%

BlackRock Financial Management, Inc.	15,099	14,714	0.01%
BlackRock Fund Advisors	2,654,449	2,657,052	1.08%
BlackRock Institutional Trust Company, National Association	2,748,138	2,759,910	1.12%
BlackRock International Limited	86,362	86,199	0.03%
BlackRock Investment Management (Australia) Limited	85,774	85,774	0.03%
BlackRock Investment Management (UK) Limited	1,675,898	1,770,316	0.72%
BlackRock Investment Management, LLC	439,069	439,069	0.18%
BlackRock Japan Co., Ltd.	32,697	32,697	0.01%
Subtotal	9,563,704	9,691,385	3.93%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	694,799	0.28%	physical
BlackRock Institutional Trust Company, National Association	Securities Lent	600	0.00%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	1,887,351	0.77%	physical
BlackRock Investment Management, LLC	Securities Lent	8,106	0.00%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
BlackRock Advisors, LLC	Contract for Difference	375	0.00%	cash
BlackRock Institutional Trust Company, National Association	Contract for Difference	6,267	0.00%	cash
BlackRock Investment Management (UK) Limited	Contract for Difference	807	0.00%	cash
Subtotal		2,708,890	1.10%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,400,275	5.03%

The chain of control has been described at the end of the declaration.

2. Transparency notification of 7 April 2020:

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 7 April 2020 that on 6 April 2020 it has crossed the statutory threshold of 5% downwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.00% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 7 April 2020
- Date on which the threshold is crossed: 6 April 2020
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	28,281	30,794	0.01%
BlackRock (Singapore) Limited	3,774	3,774	0.00%
BlackRock Advisors (UK) Limited	935,478	1,084,267	0.44%
BlackRock Advisors, LLC	267,453	267,453	0.11%
BlackRock Asset Management Canada Limited	139,227	139,227	0.06%
BlackRock Asset Management Deutschland AG	298,755	299,084	0.12%
BlackRock Asset Management North Asia Limited	1,619	1,619	0.00%

BlackRock Financial Management, Inc.	15,099	15,099	0.01%
BlackRock Fund Advisors	2,654,559	2,654,449	1.08%
BlackRock Institutional Trust Company, National Association	2,730,266	2,748,138	1.12%
BlackRock International Limited	86,362	86,362	0.04%
BlackRock Investment Management (Australia) Limited	85,160	85,774	0.03%
BlackRock Investment Management (UK) Limited	1,673,122	1,675,898	0.68%
BlackRock Investment Management, LLC	439,069	439,069	0.18%
BlackRock Japan Co., Ltd.	55,332	32,697	0.01%
Subtotal	9,413,556	9,563,704	3.88%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	696,343	0.28%	physical
BlackRock Institutional Trust Company, National Association	Securities Lent	600	0.00%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	1,932,751	0.78%	physical
BlackRock Investment Management, LLC	Securities Lent	8,106	0.00%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
BlackRock Advisors, LLC	Contract for Difference	375	0.00%	cash
BlackRock Institutional Trust Company, National Association	Contract for Difference	4,626	0.00%	cash
BlackRock Investment Management (UK) Limited	Contract for Difference	807	0.00%	cash
Subtotal		2,754,193	1.12%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,317,897	5.00%

The chain of control has been described at the end of the declaration.

3. Transparency notification of 6 April 2020:

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 6 April 2020 that on 2 April 2020 it has crossed the statutory threshold of 5% upwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.02% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 6 April 2020
- Date on which the threshold is crossed: 2 April 2020
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	28,281	0.01%
BlackRock (Singapore) Limited	5,434	3,774	0.00%
BlackRock Advisors (UK) Limited	756,390	935,478	0.38%
BlackRock Advisors, LLC	225,782	267,453	0.11%
BlackRock Asset Management Canada Limited	139,508	139,227	0.06%
BlackRock Asset Management Deutschland AG	296,433	298,755	0.12%
BlackRock Asset Management North Asia Limited	1,619	1,619	0.00%

BlackRock Financial Management, Inc.	15,099	15,099	0.01%
BlackRock Fund Advisors	2,657,719	2,654,559	1.08%
BlackRock Institutional Trust Company, National Association	2,697,122	2,730,266	1.11%
BlackRock International Limited	88,383	86,362	0.04%
BlackRock Investment Management (Australia) Limited	84,056	85,160	0.03%
BlackRock Investment Management (UK) Limited	1,258,780	1,673,122	0.68%
BlackRock Investment Management, LLC	440,908	439,069	0.18%
BlackRock Japan Co., Ltd.	57,893	55,332	0.02%
Subtotal	8,750,205	9,413,556	3.82%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	891,932	0.36%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	1,933,251	0.78%	physical
BlackRock Investment Management, LLC	Securities Lent	8,106	0.00%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
BlackRock Investment Management (UK) Limited	Contract for Difference	437	0.00%	cash
Subtotal		2,944,311	1.19%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,357,867	5.02%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

For more information

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About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated in 2019 revenues (excluding metal) of € 3.4 billion (turnover of € 17.5 billion) and currently employs 11,152 people.