

Transparency notifications by BlackRock, Inc. and by Bank of America Corp.

In accordance with article 14, § 1 of the law of 2 May 2007 on the disclosure of major holdings, Umicore was recently notified:

- Bank of America Corp. that its subsidiary Merrill Lynch International has crossed the regulatory threshold of 3% for equivalent financial instruments downwards on 14 October 2024. The total holding of direct voting rights and equivalent financial instruments of Bank of America now stands at 3.80%.
- By BlackRock, Inc. that it has crossed the legal threshold of 3% for the direct voting rights and equivalent financial instruments, downwards on 14 October 2024. The total holding of direct voting rights and equivalent financial instruments of BlackRock, Inc. now stands at 2.89%.

Summary of the moves:

1. Bank of America Corp.

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
14 October 2024	15 October 2024	0.32 %	3.48 %	3.80 %

2. BlackRock, Inc.

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
14 October 2024	15 October 2024	2.41 %	0.48 %	2.89 %

Notification from Bank of America Corp.:


The most recent notification contains the following information:

- Date of notification: 15 October 2024
- Date on which the threshold is crossed: 14 October 2024
- Threshold of equivalent financial instruments crossed downwards by Merrill Lynch: 3%
- Notification by: Bank of America Corp.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
		# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Bank of America Corporation	0	0	0	0.00%	0.00%
Bank of America, NA	3,293	3,293	0	0.00%	0.00%
BofA Securities, Inc.	19,529	0	0	0.00%	0.00%
Managed Account Advisors, LLC	15,107	12,070	0	0.00%	0.00%
U.S. Trust Co of Delaware	6,317	6,250	0	0.00%	0.00%
Merrill Lynch, Pierce, Fenner & Smith Inc	27	27	0	0.00%	0.00%
Merrill Lynch International	2,182,398	759,288	0	0.31%	0.00%
Subtotal	2,226,571	780,928		0.32%	
TOTAL		780,928	0	0.32%	0.00%

i Start with "groups" of holders. Add subtotals with Σ , and then finish with the persons who are "alone".
 For groups, start with the ultimate controlling natural person or legal entity.
 The totals, subtotals and % will be updated once you have clicked on **<CALCULATE>**.

B) Equivalent financial instruments	After the transaction						
	Holder of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
Merrill Lynch International	Right to Recall				295,516	0.12%	physical -
Merrill Lynch International	Right of Use				13,584	0.01%	physical -
Bank of America, NA	Swap	26/05/2026			789,009	0.32%	cash -
Bank of America, NA	Swap	31/07/2026			471,844	0.19%	cash -
Bank of America, NA	Swap	14/11/2024			46,396	0.02%	cash -
Merrill Lynch International	Swap	26/05/2026			789,009	0.32%	cash -
Merrill Lynch International	Swap	18/11/2024			207	0.00%	cash -
Merrill Lynch International	Swap	11/11/2024			15,362	0.01%	cash -
Merrill Lynch International	Swap	29/08/2025			2,637	0.00%	cash -
Merrill Lynch International	Swap	25/06/2026			44,829	0.02%	cash -
Merrill Lynch International	Swap	15/02/2028			430,639	0.17%	cash -
Merrill Lynch International	Swap	16/02/2026			28,227	0.01%	cash -
Merrill Lynch International	Swap	31/03/2026			481,703	0.20%	cash -
Merrill Lynch International	Swap	28/02/2025			5,122	0.00%	cash -
Merrill Lynch International	Swap	31/01/2025			92,591	0.04%	cash -
Merrill Lynch International	Swap	22/01/2025			1,563,154	0.63%	cash -
Merrill Lynch International	Swap	25/11/2024			2,430,846	0.99%	cash -
Merrill Lynch International	Swap	15/09/2025			900,000	0.37%	cash -
Merrill Lynch International	Swap	15/10/2029			15,762	0.01%	cash -
Merrill Lynch International	Swap	30/04/2026			97,729	0.04%	cash -
Merrill Lynch International	Swap	14/11/2024			46,396	0.02%	cash -
BofA Securities, Inc.	Right of Use				11,368	0.00%	physical +
TOTAL					8,672,130	3.48%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
CALCULATE	9,363,068	3.80%

Notification from BlackRock, Inc.:

The previous recent notification contains the following information:

- Date of notification: 15 October 2024
- Date on which the threshold is crossed: 14 October 2024
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock Advisors (UK) Limited	1,238,019	925,694		0.38%	
BlackRock Asset Management Canada Limited	72,961	72,961		0.03%	
BlackRock Asset Management Deutschland AG	536,850	536,437		0.22%	
BlackRock Fund Advisors	2,380,586	2,388,300		0.97%	
BlackRock Institutional Trust Company, National Association	1,599,776	1,599,776		0.65%	
BlackRock Investment Management (Australia) Limited	124,007	124,007		0.05%	
BlackRock Investment Management (UK) Limited	160,055	160,055		0.06%	
BlackRock Investment Management, LLC	44,384	44,384		0.02%	
Aperio Group, LLC	87,841	87,841		0.04%	
Subtotal	6,244,479	5,939,455		2.41%	
TOTAL		5,939,455		2.41%	

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B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent			98,377	0.04%	physical -
BlackRock Advisors, LLC	Contract Difference			120,407	0.05%	cash -
BlackRock Financial Management, Inc.	Contract Difference			51,992	0.02%	cash -
BlackRock Institutional Trust Company, National Association	Contract Difference			44,853	0.02%	cash -
BlackRock Investment Management (UK) Limited	Depository Receipt			1,779	0.00%	-
BlackRock Investment Management, LLC	Depository Receipt			30,054	0.01%	-
Aperio Group, LLC	Depository Receipt			837,783	0.34%	+
TOTAL				1,185,045	0.48%	

i The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
	CALCULATE	7,124,500
		2.89%

The chain of control has been described at the end of the notification and can be found [here](#).

For more information

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About Umicore

Umicore is a circular materials technology Group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy make a real difference. Its activities are organized in four business groups: Battery Materials, Catalysis, Recycling and Specialty Materials. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues from and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: Materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base with around 12,000 employees. The Group generated revenues (excluding metal) of € 1.8 billion (turnover of € 7.4 billion) in the first half year of 2024.